

01-24-02

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UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. A11-26110US

First Named Inventor Gerard J. Sullivan

Express Mail No. EK934964652US

APPLICATION ELEMENTS

1. ☒ Transmittal Form with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 14]
3. ☒ Drawings [Total Sheets 3]
4. ☒ Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed
 - b. ☐ Copy from prior application

[Note Boxes 5 and 18 below]

 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Code Listing (See 1.96)
 - a. ☐ Microfiche Appendix
 - b. ☐ CD-Rom (in duplicate, with separate transmittal)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy
 - c. ☐ Statement verifying above copies
8. ☐ Small Entity Status
 - ☐ is claimed
 - ☐ Statement filed in prior application; status still proper and desired
 - ☐ is no longer claimed.

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers ✓
10. ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)
 - ☐ PTO-1449 Form
 - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i)
17. ☒ Other: Petition Under 37 C.F.R. § 1.47 (a)

18. ☐ This is a CONTINUING APPLICATION. Please note the following:

- a. ☐ This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application
- b. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)
- c. ☐ Amend the specification by inserting before the first line the sentence:
This is a ☐ continuation ☐ divisional ☐ continuation-in-part of application Serial No.
- d. ☐ The prior application is assigned of record to

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. A11-26110US

APPLICATION FEES

| | | | | |
|---|--------------|--------------|------------|------------|
| BASIC FEE | | | | \$ 740.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 29 -20= | 9 | x \$18.00 | \$ 162.00 |
| Independent Claims | 3 - 3= | 0 | x \$84.00 | \$ |
| <input type="checkbox"/> Multiple Dependent Claims(s) if applicable | | | + \$280.00 | \$ |
| Total of above calculations = | | | | \$ 902.00 |
| Reduction by 50% for filing by small entity = | | | | \$() |
| <input checked="" type="checkbox"/> Assignment fee if applicable | | | + \$40.00 | \$ 40.00 |
| Petition Under 37 C.F.R. § 1.47 (a) | | | | \$130.00 |
| TOTAL = | | | | \$ 1072.00 |

19. ☐ Please charge my Deposit Account No. 01-1125 in the amount of \$20. ☒ A check in the amount of \$1072.00 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 01-1125:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 01-1125 for any fee that may be due in connection with such a request for an extension of time.

23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

24. USPTO CUSTOMER NUMBER



000128

PATENT TRADEMARK OFFICE

Honeywell International, Inc.

25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | |
|-----------|-------------------|
| Name | Marcus J. Thymian |
| Reg. No. | 43,954 |
| Signature | |
| Date | January 22, 2002 |

UTIL (Rev. 11/15/01)

Hon. Commissioner of S/N: TBA
Patents and Trademarks

Atty: MJT
Case No. 00-696

Re: Applicant – Sullivan, et al.

HIGH DENSITY 3-D INTEGRATED CIRCUIT PACKAGE

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Transmittal Letter (in duplicate)
- ☒ Patent Specification with three sheets of drawings
- ☒ Assignment
- ☒ Recordation Form Cover Sheet
- ☒ Declaration and Power of Attorney
- ☒ Declaration of Dennis Bremer
- ☒ Petition Under 37 C.F.R. § 1.47 (a)
- ☒ Check for \$1,072.00

Fee Enclosed \$ 1,072.00
Date Mailed: January 22, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghof
Attorney for Applicant

EK934964652US

DATE MAILED: January 22, 2002 SBU: _____
PATENT APPLICATION FOR: High Density 3-D Integrated Circuit Package

INVENTOR(S): Sullivan, et al.

**THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE
ON THE DATE STAMPED HEREON:**

- ☒ Transmittal Cover Sheet
- ☒ Patent Application Basic Fee: \$ 740.00
 - 8 page(s) specifications Claims in excess of 20: \$ 162.00
 - 5 page(s) claims Independent Claims in excess of 3: \$ 0
 - 1 page(s) abstract Multiple Independent Claim: \$ 0
 - 3 sheet(s) of drawings ☒ formal ☐ informal
- ☒ Declaration/Power of Attorney ☒ executed ☐ unexecuted
- ☒ Assignment and Cover Sheet; \$ 40.00
- ☐ Information Disclosure Statement ☐ Form PTO 1449 with _____ References
- ☐ Preliminary Amendment (_____ page(s))
- ☐ Total fees charged to Deposit Account No. 01-1125: \$ _____

OTHER Petition Under 37 C.F.R. Section 1.47 (a) \$ 130.00

Attorney Docket No.: A11-26110US Attorney: Dennis Bremer